

Assignment 1

(D1251CSL)

L.L.M. DEGREE EXAMINATION,
DECEMBER 2020.

Second Year

CORPORATE REGULATIONS
MAXIMUM MARKS: 30
ANSWER ALL QUESTIONS

1. Discuss the rationale of government regulations from Directive principles of state policy perspective.
2. Explain the reasons for reenacting the MRTP Act.
3. How Liberalization policies helped in creating new licensing system and describe its implications on growing trends of Indian Economy.
4. Explain the importance of disclosure of information and fairness in competition in the changing competitive world.
5. What are the regulations that should be followed while take – over of management and control of Industrial Units?

Assignment 2

(D1251CSL)

L.L.M. DEGREE EXAMINATION,
DECEMBER 2020.

Second Year

CORPORATE REGULATIONS

MAXIMUM MARKS: 30
ANSWER ALL QUESTIONS

1. Examine the NBFC Prudential Norms (RBT) Directions, 1998.
2. Discuss the legal mechanism prevailed in India in regulation of hazardous activities towards environment?
3. What are the legal Regulation for investment in India?
4. Explain the Changes made in telecommunication services sector in India in view of the TRAI Act, 1997?
5. Write a short note on any TWO of the following :
 - (a) IRDA
 - (b) Global depositories
 - (c) Impact of LPG on Indian corporate sector
 - (d) FEMA.

Assignment 1

(D 1252 CSL)

LL.M. (Final) DEGREE EXAMINATION,
DECEMBER 2020.

Second Year

Corporate and Securities Law

SECURITIES LAW AND INVESTOR PROTECTION

MAXIMUM MARKS: 30
ANSWER ALL QUESTIONS

1. What do you understand by listing of Securities? State the procedure for listing.
2. Discuss the Custodian of Securities Regulations, 1996 and Disclosure guidelines of 2000.
3. In the light of SEBI Depositories and Participants Regulation, 1996 explain the procedure for dematerialization of shares as provided under Depositories Act, 1996
4. What are Government Securities? Discuss the SEBI Guidelines for de-listing of securities.
5. What is 'Buy-back of Securities'? Explain the SEBI Regulations in this respect.

Assignment 2

(D 1252 CSL)

LL.M. (Final) DEGREE EXAMINATION,
DECEMBER 2020.

Second Year

Corporate and Securities Law

SECURITIES LAW AND INVESTOR PROTECTION

MAXIMUM MARKS: 30
ANSWER ALL QUESTIONS

1. What do you understand by 'Portfolio Manager'? State its functions and the regulation framework as provided under SEBI.
2. Who is a Merchant Banker? Explain the conditions and procedure for registration of Merchant Banker. State the responsibilities of Lead Manager.
3. Who is an underwriter and debenture trustee? What regulations are suggested by SEBI in aspect of these two?
4. Who is a 'Registrar to an Issue'? Discuss the SEBI Regulations of 1993 in this regard.
5. Answer any TWO of the following:
 - (a) FII Investments
 - (b) Prohibition of Unfair Trade Practices relating to Securities Market
 - (c) FIIs
 - (d) Venture Capital Fund.
