

(D1251CSL)

ASSIGNMENT-1
LL.M. DEGREE EXAMINATION, MAY/JUNE 2025
Second Year
CORPORATE REGULATIONS
MAXIMUM MARKS:30
ANSWER ALL QUESTIONS

1. What is Prospectus and state about the golden rule on golden legacy?
2. Examine the doctrine of ultra vires and state the exceptions.
3. 'A Promoter stands in a fiduciary position' towards the company he promotes' analytically discuss.
4. What is meant by corporate veil? State how it is to be lifted.
5. Define the term Company and explain its characteristics.
6. Enumerate the scope of doctrine of Indoor Management and exceptions of this doctrine.
7. How the Company formed under the Companies Act, 2013 and enumerate the various documents to be filed with the Registrar of Companies.
8. Discuss about the various kinds of share capital under the Companies Act, 2013.
9. Detailly explain under what circumstances the private Company is deemed to be the public company under the Companies Act, 2013.
10. Write short notes of the following.
 - (a) Preliminary contracts
 - (b) Advantages of Incorporation of Companies.

- (c) Private Company
- (d) Transmission of shares.

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ASSIGNMENT-1
LL.M. DEGREE EXAMINATION, MAY/JUNE 2025
Second year
SECURITIES LAW AND INVESTOR PROTECTION
MAXIMUM MARKS:30
ANSWER ALL QUESTIONS

1. Discuss detaily about the insider trading of a company and narrate provisions of SEBI (Insider Trading) Regulations, 1992.
2. Explain the role of Foreign Investment Board on FDI.
3. What is meant by Venture Capital and State the provisions of SEBI (Venture Capital Funds) Regulations 1996.
4. What is Buy Back of securities and state the under SEBI (Buy Back of securities) Regulations Act, 1998.
5. Analytically explain Port folio Managers and state the provisions of SEBI (Portfolio Managers) Regulations, 1993.

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ASSIGNMENT-2
LL.M. DEGREE EXAMINATION, MAY/JUNE 2025
Second year
SECURITIES LAW AND INVESTOR PROTECTION
MAXIMUM MARKS:30
ANSWER ALL QUESTIONS

1. Who is Debenture Trustee and what are the provisions of SEBI (Debenture Trustees) Regulations, 1993.
 2. Who is Merchant banker and explain the provisions of SEBI Merchant Bankers) Regulations, 1993.
 3. What is Unfair Trade Practice and discuss about the SEBI (Prohibition of Fraudulent and Unfair Trade Practices relating to Regulations, 1998.
 4. Who is Underwriter and discuss the provisions contain under the SEBI (Underwriters) Regulations, 1993.
 5. Write short notes of the following.
 - (a) Securities Lending Scheme
 - (b) Euro Issues
 - (c) Share Transfer Agents
 - (d) Stock-Broker
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