

**(D1251CSL)**

Total No. of Questions : 10]

[Total No. of Pages : 01

**LL.M. DEGREE EXAMINATION, DECEMBER – 2018**

**Second Year**

**Corporate & Securities Law**

**Corporate Regulations**

**Time : 3 Hours**

**Maximum Marks : 70**

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**Answer any Five questions**

**All questions carry equal marks.**

- Q1)** Explain the various legal measures available for protection of investors in India. Are they adequate and effective? Elaborate the changing techniques of regularities relating to corporate regulations.
- Q2)** Explain the law relating to control of sick undertakings in India and explain about government support to sick industries?
- Q3)** Describe the legal regulations relating to public enterprises in general and the regulations of insurance sector in particular?
- Q4)** There is a strong view that the Industries. (Development and Regulation) Act, 1951 has become redundant now. Comment.
- Q5)** Explain the salient features of the Foreign Exchange Management Act, 1999.
- Q6)** Evaluate the SEBI Guidelines on Corporate regulations.
- Q7)** What are the reasons for reenacting the MRTP Act? Is the Competition Act an effective substitute for the MRTP Act?
- Q8)** Critically examine the effectiveness of the NBFC Acceptance of Public Deposits (RBI) Directors, 1998.
- Q9)** Explain the various legal measures available for protection of investors in India. Are they adequate and effective?
- Q10)** What are the salient features of the SEBI Act, 1992? Is the Act effective in regulating the securities market in India?



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**LL.M. (Final) DEGREE EXAMINATION, DECEMBER – 2018**

**Second Year**

**CORPORATE AND SECURITIES LAW**

**Securities Law and Investor Protection**

**Time : 3 Hours**

**Maximum Marks : 70**

**Answer any Five questions**

**All questions carry equal marks.**

- Q1)** What do you understand by listing of Securities? State the procedure for listing.
- Q2)** What is an 'Allotment'? Discuss the SEBI Guidelines for Preferential Allotment of shares.
- Q3)** What is the concept of FII? State the procedure making investment by FIIs and discuss the SEBI Guidelines in this regard.
- Q4)** What do you understand by Allotment of Shares? Elaborate the SEBI Guidelines regarding Preferential Allotment of Shares and Euro – Issue.
- Q5)** Name the Organization in India that provide Merchant Banking Services. Write in brief the procedure for Registration of Merchant Banks.
- Q6)** What do you understand by 'portfolio Manager'? State its functions and the regulation framework as provided under SEBI.
- Q7)** Write the salient features of Depositories Act, 1996.
- Q8)** Explain the conditions and procedure for registration of Merchant Banker. State the responsibilities of Lead Manager.
- Q9)** Who is an underwriter and debenture trustee? What regulations are suggested by SEBI in aspect of these two?
- Q10)** Answer any TWO of the following :
- a) Acquisitions and Takeovers
  - b) FII Investments
  - c) Derivatives
  - d) SEBI Orders for fraud and Investigation

