

(D 1251 CSL)

L.L.M. DEGREE EXAMINATION,
NOVEMBER 2021.

Second Year

CORPORATE REGULATIONS

Time : Three hours

Maximum : 70 marks

Answer any FIVE questions.

All questions carry equal marks.

1. Examine the Directive Principles of State Policy and other constitutional provisions which are invoked by the state to regulate the Corporate Regulations in India.
2. What is the importance of disclosure of information and fairness in competition in the changing competitive world?
3. Explain the functions of Development Council under the Industries (Development and Regulation) Act, 1951.
4. Adoption of the concept of global economy creates a dilemma in the socialistic perspectives of Indian constitution, explain?
5. What are the new Corporate Governance regulations imposed by SEBI to regularize listed Companies?
6. How can you justify the reasons for reenacting the MRTP Act? Is the Competition Act an effective substitute for the MRTP Act?

7. Elaborate Liberalization policies helped in creating new licensing system and describe its implications on growing trends of Indian economy.
 8. Explain the critical issues, regarding the capital Issues. What is the advantage and disadvantages of financing with equity and debt?
 9. What are the special aspects of Legal Regulation of Select Public Enterprises like TRAI, and IRDA?
 10. Write short notes on any TWO of the following:
 - (a) The functions of SEBI
 - (b) Competition commission
 - (c) NBFC
 - (d) Functions and policies of IRDI.
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(D 1252 CSL)

LL.M. (Final) DEGREE EXAMINATION,
NOVEMBER 2021.

Second Year

Corporate and Securities Law

SECURITIES LAW AND INVESTOR PROTECTION

Time : Three hours

Maximum : 70 marks

Answer any FIVE questions

All questions carry equal marks

1. Discuss the Custodian of Securities Regulations, 1996 and Disclosure guidelines of 2000.
2. In the light of SEBI Depositories and Participants) Regulation, 1996 explain the procedure for dematerialization of shares as provided under Depositories Act, 1996
3. What is a 'Depository Receipt'? Give an account on various kinds of depositories.
4. Define an Investor. Discuss the way in which an investor can claim protection Consumer Protection Act, 1986.

5. What is the concept of FII? State the procedure making investment by FIIs and discuss the SEBI Guidelines in this regard.
6. What are Government Securities? Discuss the SEBI Guidelines for de-listing of securities.
7. What is 'Buy-back of Securities'? Explain the SEBI Regulations in this respect.
8. What do you understand by 'Portfolio Manager'? State its functions and the regulation framework as provided under SEBI.
9. Who is a Merchant Banker? Explain the conditions and procedure for registration of Merchant Banker. State the responsibilities of Lead Manager.
10. Answer any TWO of the following:
 - (a) Securities Lending Scheme
 - (b) Underwriters
 - (c) Guidelines for Euro- issue
 - (d) Debenture Trustee.