

Total No. of Questions : 10]

D1251CSL

L.L.M. DEGREE EXAMINATION, JUNE/JULY - 2019

**Second Year
Corporate Regulations**

Time : 3 Hours

Maximum Marks : 70

Answer any FIVE of the following with illustrations.

All questions carry equal marks

- Q1)** Explain the Obligations of the Insurer after the Commencement of Insurance Regulatory and Development Authority Act, 1999.
- Q2)** Discuss the rationale of government regulations from constitutional perspective?
- Q3)** Discuss the powers of Central Government under Industries Development and Regulation Act, 1951?
- Q4)** Critically examine the SEBI regulations for take-over?
- Q5)** Explain the importance of disclosure of information and fairness in competition in the changing competitive world.
- Q6)** Discuss the norms prescribed by RBI to regulate NBFC and analyze the effect of demonetization on non banking finance companies?
- Q7)** Briefly discuss the impact of changing investment policy on NRI's FIIs and FDIs under FEMA.
- Q8)** Give a note on Foreign Exchange Management Act 1999 and explain how it differs from FERA in objectives point of view.
- Q9)** Write an elaborative note on the existing Foreign Trade Policy resolution with special reference to Indian new economic policy.
- Q10)** Write a Short Note on any TWO of the following:
- a) Equity and Debt Finance
 - b) SEBI
 - c) Competition Commission
 - d) Changes in Insurance Sector

Total No. of Questions : 10]

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LL.M. DEGREE EXAMINATION, JUNE/JULY - 2019

(Second Year)

CORPORATE AND SECURITIES LAW

Securities Law and Investor Protection

Time : 3 Hours

Maximum Marks : 70

Answer any FIVE Questions
All questions carry equal marks

- Q1)** What do you understand by listing of Securities? State the procedure for listing.
- Q2)** What is an 'Allotment'? Discuss the SEBI Guidelines for Preferential Allotment of shares.
- Q3)** What is the concept of FII? State the procedure making investment by FIIs and discuss the SEBI Guidelines in this regard.
- Q4)** Name the Organisation in India that provides Merchant Banking Services. Write in brief the procedure for Registration of Merchant Banks.
- Q5)** What is a 'Depository Receipt'? Give an account on various kinds of depositories.
- Q6)** Define an Investor. Discuss the way in which an investor can claim protection Consumer Protection Act, 1886.
- Q7)** What are 'Derivatives'? Explain the guidelines issued for good/bad derivatives.
- Q8)** Explain the Role of NBFCs. Briefly state the RBI Direction with respect to acceptance of Public Deposits in this aspect.
- Q9)** Explain the rule provided under SEBI with regard to substantial acquisition of shares and takeovers.
- Q10)** Answer any TWO of the following:
- Securities Lending Scheme
 - Underwrites
 - Guidelines for Euro-issue
 - Debenture Trustee

